## **Categorical Exclusions - Wastewater**

[40 CFR 6.107 & 6.505]

Project Name	
Grant Number_	

Categorical Exclusions (CEs) are identified categories of actions which do not individually, cumulatively overtime, or in conjunction with other Federal, State, local or private actions have a significant effect on the quality of the human environment. For a project to be eligible for a CE, it must meet the categories/criteria of both Tables 1 and 2 below.

Based upon the existing information, does the wastewater (WW) project fit any of the following general categories of Table 1?

general eategories of Table 1:	
<b>Table 1.</b> General and Specialized Categories of <u>Granting</u> a CE [ 40 CFR 6.107 CFR 6.505(b)]	(d)] and [40
Minor rehabilitation of existing facilities  This includes, but is not limited to, infiltration and inflow corrections in the existing collection system and equipment rehabilitation at existing wastewater plant, pumping, or storage facilities. It also includes the replacement of existing sewer lines constructed in already disturbed areas within the existing system service areas intended to serve existing customers with no change in capacity.  Describe:	Yes No
<u>Describe</u> .	
Functional replacement of equipment  This includes, but is not limited to, equipment replacement or modification at existing wastewater plant, pumping, or storage facilities with no significant change in capacity	Yes No
<u>Describe</u> :	
Ancillary facilities adjacent or appurtenant to existing facilities  This includes, but is not limited to, the minor extension of collection system sewers or force mains within ½ mile of the existing collection system to serve predominantly existing development as long as: 1) any increase in system flow would not exceed the capacity of the collection system or treatment facilities, and 2) the level of treatment will not be affected.  Describe:	Yes No

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The Project does not affect the degree of treatment or capacity of the existing facility including, but not limited to, infiltration and inflow corrections, replacement of existing mechanical equipment of structures, and the construction of small structures on existing sites.  Describe:	Yes No
The Project is in a sewered community of less than 10,000 persons and involves only minor upgrading and minor expansion of existing treatment works. This category does not include Projects that directly or indirectly involve the extension of new collection systems funded with federal or other sources of funds.  Describe:	Yes No
The project involves on-site technologies in an unsewered community of less than 10,000 persons.  Describe:	Yes No

If any answer in Table 1 is "Yes", then go to Table 2.

If all answers in Table 1 are "No", then STOP -- an Environmental Information Document (EID) must be prepared.

If the wastewater project  $\underline{does}$  fit in a category (any answer in Table 1 is "Yes"), then the following questions must be answered:

<b>Table 2.</b> Criteria That Prevent Granting a CE [40 CFR 6.107(e)] and [40 CFR 6.505(c)]	
The action is known or expected to have a significant effect on the quality of the human environment, either individually, cumulatively over time, or in conjunction with other federal, State, local, tribal or private actions.	Yes No
The action is known or expected to directly or indirectly affect cultural resources areas such as archaeological and historic sites.	Yes No
The action is known or expected to directly or indirectly affect endangered or threatened species and their critical habitats.	Yes No
The action is known or expected to directly or indirectly affect environmentally important natural resource areas such as floodplains, wetlands, important farmlands, or aquifer recharge zones.	Yes No
The action is known or expected not to be cost-effective.	Yes No

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The action is known or expected to cause significant public controversy.	Yes No
The facilities to be provided will create a new, or relocate an existing, discharge to surface or ground waters.	Yes No
The facilities will result in substantial increases in the volume of discharge or the loading of pollutant from an existing source or from new facilities to receiving waters.	Yes No
The facilities would provide capacity to serve a population 30% greater than the existing population.	Yes No

If all of the answers to the questions in Table 2 are "No" and the project is in an eligible category from Table 1, then a Categorical Exclusion can be issued.

If any of the answers to the questions in Table 2 are "Yes," then a Categorical Exclusion can not be granted and an Environmental Information Document (EID) must be prepared.

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